

IPW



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Lareau, et al.

Serial No. 10/804,280

Filing Date: 03/19/04

For: **Monitoring and Tracking of Assets by  
Utilizing Wireless Communications**

Confirmation No.: 7423

Examiner:

Docket No. 062012-1031

Group Art Unit: 2632

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

This information disclosure statement is filed in accordance with 37 C.F.R. §§ 1.56, 1.97, and 1.98. Listed on the accompanying form PTO-1449 are documents which may or may not be considered material to the examination of this application. These documents were made of record in a prior application filed December 20, 2002 and having serial no. 10/324,422, to which priority is claimed relative to the present application pursuant to 35 U.S.C. §120. Copies of these documents are not being provided pursuant to 37 C.F.R. § 1.98(d)(1) and (2), which provides:

(d) A copy of any patent, publication, pending U.S. application or other information, as specified in paragraph (a) of this section, listed in an information disclosure statement is required to be provided, even if the patent, publication, pending U.S. application or other information was previously submitted to, or cited by, the Office in an earlier application, unless:

(1) The application is properly identified in the information disclosure statement and is relied on for an earlier effective filing date under 35 U.S.C. §120; and

(2) The information disclosure statement submitted in the earlier application complies with paragraphs (a) through (c) of this section.

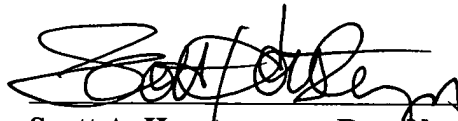
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This statement should not be construed as a representation that an exhaustive search has been made, or that information more material to the examination of the present application does not exist. The Examiner is specifically requested not to rely solely on the materials submitted herewith. The Examiner is requested to conduct an independent and thorough review of the documents, and to form independent opinions as to their significance.

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449 to indicate the documents have been considered.

Respectfully submitted,

**THOMAS, KAYDEN, HORSTEMEYER  
& RISLEY, L.L.P.**

By:   
Scott A. Horstemeyer, Reg. No. 34,183

100 Galleria Parkway, Suite 1750  
Atlanta, Georgia 30339-5948  
770-933-9500  
Docket: 062012-1031



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Confirmation No.:

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Docket No.

For:

The following is a list of documents enclosed:

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Information Disclosure Statement  
Form PTO-1449 (7 pages)

00079545



Form PTO-1449

Attorney Docket No.  
**062012-1031**Serial No.  
**10/804,280****INFORMATION DISCLOSURE CITATION**Applicant  
**Lareau et al.***(Use several sheets if necessary)*Filing Date  
**03/19/04**

Group

**U.S. PATENT DOCUMENTS**

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|-------------------|------|-----------------|----------|------------------|-------|----------|----------------------------|
|                   | A    | 3,426,326       | 02/04/69 | Goldstein        | 340   | 153      |                            |
|                   | B    | 3,780,368       | 12/18/78 | Northeved et al. | 343   | 6.5SS    |                            |
|                   | C    | 4,041,470       | 08/09/77 | Slane et al.     | 364   | 900      |                            |
|                   | D    | 4,688,026       | 08/18/87 | Scribner et al.  | 340   | 572      |                            |
|                   | E    | 4,688,244       | 08/18/87 | Hannon et al.    | 379   | 58       |                            |
|                   | F    | 4,740,792       | 04/26/88 | Sagey et al.     | 342   | 457      |                            |
|                   | G    | 4,750,197       | 06/07/88 | Denekamp et al.  | 379   | 58       |                            |
|                   | H    | 4,816,824       | 03/28/89 | Katz et al.      | 340   | 825.340  |                            |
|                   | I    | 4,851,815       | 07/25/89 | Enkelmann        | 340   | 571      |                            |
|                   | J    | 4,862,160       | 08/29/89 | Ekchian et al.   | 340   | 825.54   |                            |
|                   | K    | 4,918,425       | 04/17/90 | Greenberg et al. | 340   | 539      |                            |

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|  |   |                 |          |         |       |          | Yes         | No |
|  | L | EP 0 369 662 A2 | 05/23/90 | Europe  |       |          |             |    |
|  | M | EP 0 512 543 A2 | 11/11/92 | Europe  |       |          |             |    |
|  | N | EP 0 575 753 A2 | 12/29/93 | Europe  |       |          |             |    |
|  | O | EP 0 615 645 B1 | 09/21/94 | Europe  |       |          |             |    |

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|  |   |   |
|--|---|---|
|  | P | The Wall Street Journal Business bulletin: Source tagging, <u>Wall Street Journal</u> , (Eastern edition), New York, NY: Dec 30, 1993, pp A1, 1 page.                                     |
|  | Q | The Wall Street Journal, "Radio Tags Trigger Train Identification", <i>Machalaba, Daniel</i> , <u>Wall Street Journal</u> , (Eastern edition), New York, NY, May 10, 1990, pp B1, 1 page. |
|  | R | Brian Martin - Abstract entitled, "WatchIt™ A Fully Supervised Identification, Location and Tracking System", 1995 IEEE, pp 306-310, 5 pages.   |

\* EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

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**062012-1031**Serial No.  
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|                   | S    | 4,952,928       | 08/28/90 | Carroll et al.  | 340   | 825.54   |                            |
|                   | T    | 5,006,996       | 04/09/91 | Nakamura et al. | 364   | 478      |                            |
|                   | U    | 5,023,600       | 06/11/91 | Szklany et al.  | 340   | 572      |                            |
|                   | V    | 5,030,807       | 07/09/91 | Landt et al.    | 235   | 375      |                            |
|                   | W    | 5,081,445       | 01/14/92 | Gill et al.     | 340   | 572      |                            |
|                   | X    | 5,113,344       | 05/12/92 | Kellogg et al.  | 364   | 424.04   |                            |
|                   | Y    | 5,119,104       | 06/02/92 | Heller          | 342   | 450      |                            |
|                   | Z    | 5,142,278       | 08/25/92 | Moallemi et al. | 340   | 825.06   |                            |
|                   | AA   | 5,151,684       | 09/29/92 | Johnsen         | 340   | 572      |                            |
|                   | AB   | 5,153,583       | 10/06/92 | Murdoch         | 340   | 825.54   |                            |
|                   | AC   | 5,223,844       | 06/29/93 | Mansell et al.  | 342   | 357      |                            |

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|  |    |                 |          |                          |       |          | Yes         | No |
|  | AD | EP 0 631 259 A2 | 12/28/94 | Europe                   |       |          |             |    |
|  | AE | GB2193359       | 02/03/88 | Great Britain (Abstract) |       |          |             |    |
|  | AF | GB 2 193 359 A  | 02/03/88 | Great Britain            |       |          |             |    |
|  | AG | JP 1-214504     | 08/28/89 | Japan                    |       |          |             |    |

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|  | AH | Brian Tinham, Article entitled, "What Users Say About Smart Transmitters", Control and Instrumentation Magazine, p75, April, 1992, 9 pages.                                       |
|  | AI | Article entitled, "RFID Tracking of Medical Products", Pharmaceutical Manufacturing Review Magazine, p21, September, 1993, 3 pages.   |
|  | AJ | George Adcock, Article entitled, "U.S. Automatic Equipment Identification System Becoming Worldwide Standard for Tracking", Traffic World Magazine, p41, February, 1994, 2 pages. |

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|                   | AK   | 5,245,534       | 09/14/93 | Waterhouse et al.     | 364   | 404      |                            |
|                   | AL   | 5,317,309       | 05/31/94 | Vercellotti et al.    | 340   | 825.54   |                            |
|                   | AM   | 5,347,274       | 09/13/94 | Hassett               | 340   | 988      |                            |
|                   | AN   | 5,363,425       | 11/08/94 | Mufti et al.          | 379   | 38       |                            |
|                   | AO   | 5,396,227       | 03/07/95 | Carroll et al.        | 340   | 825.g31  |                            |
|                   | AP   | 5,434,775       | 07/18/95 | Sims et al.           | 364   | 403      |                            |
|                   | AQ   | 5,469,363       | 11/21/95 | Saliga                | 364   | 478      |                            |
|                   | AR   | 5,497,140       | 03/05/96 | Tuttle                | 342   | 51       |                            |
|                   | AS   | 5,517,194       | 05/14/96 | Carroll et al.        | 342   | 50       |                            |
|                   | AT   | 5,521,602       | 05/28/96 | Carroll et al.        | 342   | 50       |                            |
|                   | AU   | 5,528,232       | 06/18/96 | Verma et al.          | 340   | 825.54   |                            |
|                   | AV   | 5,537,460       | 07/16/96 | Holliday, Jr., et al. | 379   | 59       |                            |
|                   | AW   | 5,539,775       | 07/23/96 | Tuttle et al.         | 375   | 200      |                            |
|                   | AX   | 5,543,780       | 08/06/96 | McAuley et al.        | 340   | 573      |                            |

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|  |    |                 |            |         |       |          | Yes         | No |
|  | AY | JP 3-4303       | 01/10/91   | Japan   |       |          |             |    |
|  | AZ | JP 4-233490     | 08/21/1992 | Japan   |       |          |             |    |
|  | BA | JP 7-20237      | 01/24/1995 | Japan   |       |          |             |    |
|  | BB | JP 7-30961      | 01/31/1995 | Japan   |       |          |             |    |

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|--|----|--|
|  | BC | C. B. Wong and Grennady Reshetnikov, Abstract entitle, "Hybrid Tracking Technology", 1994 IEEE, 6 pages  |
|  | BD | Article entitled, "Radio Tags Trigger", 3 pages.   |
|  | BE | Alfred R. Koelle, Abstract entitled, "Short Range UHF Telemetry System Using Passive Transponders for Vehicle ID and Status Information", AMTECH Technology Corp., pp 34-38, 1988 IEEE, 5 pages. |

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|-------------------|------|-----------------|----------|-------------------|-------|----------|----------------------------|
|                   | BF   | 5,546,540       | 08/13/96 | White             | 395   | 200.1    |                            |
|                   | BG   | 5,565,858       | 10/15/96 | Guthrie           | 340   | 825.350  |                            |
|                   | BH   | 5,588,005       | 12/24/96 | Ali et al.        | 370   | 346      |                            |
|                   | BI   | 5,589,821       | 12/31/96 | Sallen et al.     | 340   | 573      |                            |
|                   | BJ   | 5,621,798       | 04/15/97 | Aucsmith          | 380   | 25       |                            |
|                   | BK   | 5,627,517       | 05/06/97 | Theimer et al.    | 340   | 572      |                            |
|                   | BL   | 5,629,981       | 05/13/97 | Nerlikar          | 380   | 25       |                            |
|                   | BM   | 5,646,616       | 07/08/97 | Komatsu           | 340   | 988      |                            |
|                   | BN   | 5,648,765       | 07/15/97 | Cresap et al.     | 340   | 825.35   |                            |
|                   | BO   | 5,664,113       | 09/02/97 | Worger et al.     | 705   | 28       |                            |
|                   | BP   | 5,673,037       | 09/30/97 | Cesar et al.      | 340   | 825.54   |                            |
|                   | BQ   | 5,680,106       | 10/21/97 | Schrott et al.    | 340   | 572      |                            |
|                   | BR   | 5,686,888       | 11/11/97 | Welles, II et al. | 340   | 539      |                            |
|                   | BS   | 5,686,902       | 11/11/97 | Reis et al.       | 340   | 825.54   |                            |
|                   | BT   | 5,742,237       | 04/21/98 | Bledsoe           | 340   | 825.49   |                            |
|                   | BU   | 5,745,037       | 04/28/98 | Guthrie et al.    | 340   | 573      |                            |
|                   | BV   | 5,768,140       | 06/16/98 | Swartz et al.     | 364   | 478.13   |                            |

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|--|----|-----------------|------------|---------------|-------|----------|-------------|----|
|  |    |                 |            |               |       |          | Yes         | No |
|  | BW | JP 8-73009      | 03/19/1996 | Japan         |       |          |             |    |
|  | BX | JP 6-124375     | 05/06/1994 | Japan         |       |          |             |    |
|  | BY | JP 61-203021    | 09/08/1986 | Japan         |       |          |             |    |
|  | BZ | WO 92/08148     | 05/14/92   | International |       |          |             |    |

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|  |  | Hill, et al., "A System Architecture for Networked Sensors, 11/13/00 |
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| <b>INFORMATION DISCLOSURE CITATION</b>   | Applicant<br><b>Lareau et al.</b>         |                                 |
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|                   | CA   | 5,774,876       | 06/30/98 | Woolley et al.   | 705   | 28       |                            |
|                   | CB   | 5,793,630       | 08/11/98 | Theimer et al.   | 364   | 140.01   |                            |
|                   | CC   | 5,798,693       | 08/25/98 | Engellenner      | 340   | 505      |                            |
|                   | CD   | 5,850,181       | 12/15/98 | Heinrich et al.  | 340   | 572      |                            |
|                   | CE   | 5,850,187       | 12/15/98 | Carrender et al. | 340   | 825.54   |                            |
|                   | CF   | 5,856,788       | 01/05/99 | Walter et al.    | 340   | 825.54   |                            |
|                   | CG   | 5,910,776       | 06/08/99 | Black            | 340   | 825.35   |                            |
|                   | CH   | 5,942,987       | 08/24/99 | Heinrich t al.   | 340   | 825.54   |                            |
|                   | CI   | 6,028,857       | 02/22/00 | Poor             | 370   | 351      |                            |
|                   | CJ   | 6,040,773       | 03/21/00 | Vega et al.      | 340   | 572.1    |                            |
|                   | CK   | 6,058,374       | 05/02/00 | Guthrie et al.   | 705   | 28       |                            |
|                   | CL   | 6,128,549       | 10/03/00 | Swartz et al.    | 700   | 225      |                            |
|                   | CM   | 6,172,596       | 01/09/01 | Cesar et al.     | 340   | 10.41    |                            |
|                   | CN   | 6,252,508       | 06/26/01 | Vega et al.      | 340   | 572.1    |                            |
|                   | CO   | 6,329,916       | 12/11/01 | Dames            | 340   | 572.6    |                            |
|                   | CP   | 6,333,690       | 12/25/01 | Nelson et al.    | 340   | 539      |                            |
|                   | CQ   | 6,405,102       | 06/11/02 | Swartz et al.    | 700   | 225      |                            |

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|--|----|-----------------|----------|---------------|-------|----------|-------------|----|
|  |    |                 |          |               |       |          | Yes         | No |
|  | CR | WO 93/05485     | 03/18/93 | International |       |          |             |    |
|  | CS | WO 93/11517     | 06/10/93 | International |       |          |             |    |
|  | CT | WO 96/16387     | 05/30/96 | International |       |          |             |    |
|  | CU |                 |          |               |       |          |             |    |

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| <b>INFORMATION DISCLOSURE CITATION</b>  |      |                 |          | Applicant<br><b>Lareau et al.</b>         |                  |                                 |                            |    |
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|   | CV   | 6,411,213       | 06/25/02 | Vega et al.                               | 340              | 573.3                           |                            |    |
|   | CW   | 6,466,634       | 10/15/02 | O'Toole et al.                            | 375              | 374                             |                            |    |
|   | CX   | 6,542,114       | 04/01/03 | Eqagleson et al.                          | 342              | 357.07                          |                            |    |
|   | CY   | 5,926,101       | 07/1999  | Dasgupta                                  | 340              | 825.02                          |                            |    |
|   | CZ   | 6,150,961       | 11/2000  | Alewine, et al.                           | 340              | 995                             |                            |    |
|   | DA   | 5,450,073       | 09/1995  | Brown, et al.                             | 340              | 3.1                             |                            |    |
|   | DB   | 6,275,476B1     | 08/2003  | Wood, Jr.                                 | 370              | 312                             |                            |    |
|   | DC   | 5,519,618       | 05/1996  | Kastner, et al.                           | 701              | 120                             |                            |    |
|   | DD   | 5,627,544       | 05/1997  | Snodgrass, et al.                         | 342              | 42                              |                            |    |
|   | DE   | 6,226,300B1     | 05/2003  | Hush, et al.                              | 370              | 437                             |                            |    |
|   | DF   | 5,138,614       | 08/1992  | Baumgartner, et al.                       | 370              | 261                             |                            |    |
| <b>FOREIGN PATENT DOCUMENTS</b>   |      |                 |          |   |                  |                                 |                            |    |
|   |      | Document Number | Date     | Country                                   | Class            | Subclass                        | Translation                |    |
|   |      |                 |          |   |                  |                                 | Yes                        | No |
|   |      |                 |          |   |                  |                                 |                            |    |
|   |      |                 |          |   |                  |                                 |                            |    |
|   |      |                 |          |   |                  |                                 |                            |    |
|   |      |                 |          |   |                  |                                 |                            |    |
| <b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)</b>   |      |                 |          |   |                  |                                 |                            |    |
|   |      |                 |          |   |                  |                                 |                            |    |
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|                   | DG   | 5,608,721       | 03/1997 | Natarajan, et al. | 370   | 236      |                            |
|                   | DH   | 6,614,764B1     | 09/2003 | Rodehefer         | 370   | 254      |                            |
|                   | DI   | 6,127,928       | 10/2000 | Issacman, et al.  | 340   | 572.1    |                            |
|                   | DJ   |                 |         |                   |       |          |                            |
|                   | DK   |                 |         |                   |       |          |                            |
|                   | DL   |                 |         |                   |       |          |                            |
|                   |      |                 |         |                   |       |          |                            |
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|                   |      |                 |         |                   |       |          |                            |
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|  |  |                 |      |         |       |          | Yes         | No |
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\* EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

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